

# Polynomial Multiplication in NTRU Prime

Comparison of Optimization Strategies on Cortex-M4

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## NTRU Prime

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## Parameter Sets and follow up works on Cortex-M4.

**Table 1:** Round 2 parameter sets.

Scheme	security level	$p$	$q$	$w$
{ntrulpr, sntrup}653	2	653	4621	{252, 288}
{ntrulpr, sntrup}761	3	761	4591	{250, 286}
{ntrulpr, sntrup}857	4	857	5167	{281, 322}

**Table 2:** Additional parameter sets for round 3.

Scheme	$p$	$q$	$w$
{ntrulpr, sntrup}953	953	6343	{345, 396}
{ntrulpr, sntrup}1013	1013	7177	{392, 448}
{ntrulpr, sntrup}1277	1277	7879	{429, 492}

## Polynomials in NTRU Prime

- Primes  $p, q$  giving a field  $\mathbb{Z}_q[x]/\langle x^p - x - 1 \rangle$
- Polynomials in  $\mathbb{Z}_q[x]/\langle x^p - x - 1 \rangle$  and  $\mathbb{Z}_3[x]/\langle x^p - x - 1 \rangle$
- **small**: coefficients are all in  $\{\pm 1, 0\}$
- **weight  $w$** : exactly  $w$  non-zero coefficients
- Short: **small** and **weight  $w$**
- We focus on the case where one of the multiplicands is **small**
- If both multiplicands are **small**, we can apply Karatsuba with unsigned long multiplication, see [Li21].

- Good's trick:

- Ring  $\mathbb{Z}_{q'}[x]/\langle x^{1536} - 1 \rangle$
- Multi-dimensional mapping:

$$\mathbb{Z}_{q'}[x]/\langle x^{1536} - 1 \rangle \cong (\mathbb{Z}_{q'}[z]/\langle z^3 - 1 \rangle)[y]/\langle y^{512} - 1 \rangle$$

- Mixed-radix:

- Ring  $\mathbb{Z}_{4591}[x]/\langle x^{\{1620, 1530\}} - 1 \rangle$
- Small radices
- Rader's trick for a large radix

## **Convolution and Its Application to NTRU Prime**

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## Convolution

For  $\mathbf{a}(x), \mathbf{b}(x) \in R[x]/\langle f(x) \rangle$ .

- Convolution:  $f(x) = x^N - 1$
- NTRU Prime:  $R[x]/\langle x^p - x - 1 \rangle$ , not convolutions
- Observe:  $\deg(\mathbf{a}(x)\mathbf{b}(x)) \leq 2p - 2$
- $\mathbf{a}(x)\mathbf{b}(x) \in R[x]$  can be computed in  $R[x]/\langle x^N - 1 \rangle$  with  $N > 2p - 2$
- Reduce  $\mathbf{a}(x)\mathbf{b}(x)$  from  $R[x]$  to  $R[x]/\langle x^p - x - 1 \rangle$

## **Good's Trick**

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## General Idea of Good's Trick [Goo51]

- Suppose  $q_0 \perp q_1$  and map  $x \mapsto yz$  for  $y^{q_0} = z^{q_1} = 1$ .

We have:

$$\begin{aligned} R[x]/\langle x^{q_0 q_1} - 1 \rangle &\cong (R[z]/\langle z^{q_1} - 1 \rangle)[y]/\langle y^{q_0} - 1 \rangle \\ &\stackrel{q_0\text{-NTT}}{\cong} \prod_{i=0}^{q_0-1} (R[x]/\langle x^{q_1} - 1 \rangle)[y]/\langle y - \psi^i \rangle \end{aligned}$$

- $x^i \mapsto (yz)^i = y^i z^i = y^{i \bmod q_0} z^{i \bmod q_1}$
- $a_i x^i \mapsto a_{(i \bmod q_0, i \bmod q_1)} y^{i \bmod q_0} z^{i \bmod q_1}$

## **Number-Theoretic Transforms (NTTs)**

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## Number-theoretic Transforms (NTTs)

Let  $q$  be a prime and  $N|(q - 1)$ . Size  $N$  NTT is the isomorphism:

$$\begin{cases} \mathbb{Z}_q[x]/\langle x^N - 1 \rangle & \rightarrow \prod_{j=0}^{N-1} \mathbb{Z}_q[x]/\langle x - \psi_N^j \rangle \\ \sum_{i=0}^{N-1} a_i x^i & \mapsto (\hat{a}_0, \dots, \hat{a}_{N-1}) \end{cases}$$

where  $\hat{a}_j = \sum_{i=0}^{N-1} a_i \psi_N^{ij}$  for an  $N$ th root of unity  $\psi_N$ .

We can implement  $\mathbf{a}(x)\mathbf{b}(x)$  as  $\text{NTT}^{-1}(\text{NTT}(\mathbf{a}(x))(\cdot)\text{NTT}(\mathbf{b}(x)))$  where  $(\cdot)$  is the point-multiplication.

Efficient algorithms for NTTs are called FFTs.

## Why Good's Trick?

$$\begin{aligned} R[x]/\langle x^{q_0 q_1} - 1 \rangle &\cong (R[z]/\langle z^{q_1} - 1 \rangle)[y]/\langle y^{q_0} - 1 \rangle \\ &\cong \prod_{i=0}^{q_0-1} (R[z]/\langle z^{q_1} - 1 \rangle)[y]/\langle y - \psi^i \rangle \end{aligned}$$

# multiplication:

$$O(q_0^2 q_1^2) \implies O(q_0 q_1^2 + q_0^2 q_1)$$

There is an example showing Good's trick is fast for  $x^6 - 1$  in the appendix.

## **Cooley-Tukey Fast Fourier Transforms (FFTs)**

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## General Idea of Cooley-Tukey FFT i

If  $\zeta \in R$  is invertible, we have

$$R[x]/\langle x^N - \zeta^N \rangle \cong \prod_{i=0}^{N-1} R[x]/\langle x - \zeta \psi_N^i \rangle.$$

We apply this by observing roots of unity are invertible.

## General Idea of Cooley-Tukey FFT ii

$\psi = \psi_{N_0 N_1}$  and pick  $\psi_{N_0} = \psi^{N_1}$  and  $\psi_{N_1} = \psi^{N_0}$ .

$$\begin{aligned} R[x]/\langle x^{N_0 N_1} - 1 \rangle &\stackrel{N_0\text{-NTT}, \zeta=1}{\cong} \prod_{i=0}^{N_0-1} R[x]/\langle x^{N_1} - \psi^{N_1 i} \rangle \\ &\stackrel{N_1\text{-NTT}, \zeta=\psi^i}{\cong} \prod_{i=0}^{N_0-1} \prod_{j=0}^{N_1-1} R[x]/\langle x - \psi^{i+N_0 j} \rangle \end{aligned}$$

If  $N_0 = 2^{k_0}$  and  $N_1 = 2^{k_1}$ , FFT is very fast.

If  $N_0$  and  $N_1$  are not sharing a same radix, we call it mixed-radix.

## $(p, q) = (761, 4591)$ : 2D Good's Trick for 1536

Observe  $1536 = 512 \times 3$ .

$$(R[z]/\langle z^3 - 1 \rangle)[y]/\langle y^{512} - 1 \rangle \cong \prod_{i=0}^{511} (R[z]/\langle z^3 - 1 \rangle)[y]/\langle y - \psi^i \rangle$$

For 512-NTT with  $\mathbb{Z}_q$ , we need  $512|(q-1)$ , but  $512 \nmid (4591-1)$ .

- Compute as in  $\mathbb{Z}$ , and then reduce to  $\mathbb{Z}_q$
- Cortex-M4 with powerful 32-bit arithmetic: For  $\mathbb{Z}_{q'}[x]/\langle x^{1536} - 1 \rangle$ , choose a prime  $q' > q \cdot p$  with  $512|(q'-1)$  so  $R = \mathbb{Z}_{q'}$
- For 512-NTT, consider  $512 = 2 \cdot 256, 256 = 2 \cdot 128, \dots, 4 = 2 \cdot 2$ , so eventually, we have the bit-reversal of  $1, \psi^1, \dots, \psi^{511}$
- Instead of  $(*512^{-1})$ ,  $\mathbb{Z}_{q'} \rightarrow \mathbb{Z}_q, \langle x^{1536} - 1 \rangle \rightarrow \langle x^{761} - x - 1 \rangle$ , we compute  $\langle x^{1536} - 1 \rangle \rightarrow \langle x^{761} - x - 1 \rangle, (*512^{-1}), \mathbb{Z}_{q'} \rightarrow \mathbb{Z}_q$ .
- $\langle x^{1536} - 1 \rangle \rightarrow \langle x^{761} - x - 1 \rangle$  before  $\mathbb{Z}_{q'} \rightarrow \mathbb{Z}_q$ , choose  $q' > q \cdot (2p-1)$
- For Short, one can replace  $p$  with  $w$

$$(p, q) = (761, 4591): \text{Mixed-radix i}$$

- $4591 - 1 = 2 \times 3^3 \times 5 \times 17$
- $1620 = 270 \times 6 = 2 \times 3^3 \times 5 \times 6$
- $\psi = \psi_{270}$  and let  $\begin{cases} \psi' = \psi^{5i_0+10i_1+30i_2+90i_3} \\ \psi'' = \psi^{i_0+2i_1+6i_2+18i_3+54i_4}, dr_{270}(.) \end{cases}$

$$\begin{aligned} \mathbb{Z}_q[x]/\langle x^{1620} - 1 \rangle &\stackrel{\text{2-NTT,3-NTT}}{\cong} \prod_{i_0=0}^1 \prod_{i_1=0}^2 \mathbb{Z}_q[x]/\langle x^{270} - \psi^{45i_0+90i_1} \rangle \\ &\stackrel{\text{3-NTT,3-NTT}}{\cong} \prod_{i_0=0}^1 \prod_{i_1=0}^2 \prod_{i_2=0}^2 \prod_{i_3=0}^2 \mathbb{Z}_q[x]/\langle x^{30} - \psi' \rangle \\ &\stackrel{\text{5-NTT}}{\cong} \prod_{i_0=0}^1 \prod_{i_1=0}^2 \prod_{i_2=0}^2 \prod_{i_3=0}^2 \prod_{i_4=0}^4 \mathbb{Z}_q[x]/\langle x^6 - \psi'' \rangle \end{aligned}$$

## $(p, q) = (761, 4591)$ : Mixed-radix ii

- $4591 - 1 = 17 \times 3^3 \times 10$
- $1530 = 17 \times 90 = 17 \times 9 \times 10$
- $\psi = \psi_{17 \cdot 9}$  so  $\psi^9 = \psi_{17}$  and  $\psi^{17} = \psi_9$
- Rader's trick for 17-NTT

$$\begin{aligned}\mathbb{Z}_q[x]/\langle x^{1530} - 1 \rangle &\stackrel{\text{17-NTT}}{\cong} \prod_{i=0}^{16} \mathbb{Z}_q[x]/\langle x^{90} - \psi^{9i} \rangle \\ &\stackrel{\text{9-NTT}}{\cong} \prod_{i=0}^{16} \prod_{j=0}^8 \mathbb{Z}_q[x]/\langle x^{10} - \psi^{i+17 \cdot j} \rangle\end{aligned}$$

## General Framework of Rader's Trick [Rad68]

- For a prime  $p$ , compute part of the size  $p$  NTT as a size  $(p - 1)$  convolution
- $\exists g \in \mathbb{Z}_p$  with  $[1, \dots, p - 1] \xrightarrow{i \mapsto g^i} [1, \dots, p - 1]$  as sets.
- $\forall j > 0$ ,

$$\begin{aligned}\hat{a}_j - a_0 &= \sum_{i=1}^{p-1} (\psi^{-1})^{-ij} a_i \\ \iff \hat{a}_{g^j} - a_0 &= \sum_{i=1}^{p-1} (\psi^{-1})^{g^{j-i}} a_{g^i}\end{aligned}$$

- Indices in blue sum to a fix  $j \implies$  convolution.

## Rader's Trick for Size 5 NTT

- Consider  $i \mapsto 2^i : \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 4 & 3 & 1 \end{pmatrix}$
- $\begin{cases} \hat{a}_2 - a_0 = (\psi^{-1})^2 a_2 + (\psi^{-1})^1 a_4 + (\psi^{-1})^3 a_3 + (\psi^{-1})^4 a_1 \\ \hat{a}_4 - a_0 = (\psi^{-1})^4 a_2 + (\psi^{-1})^2 a_4 + (\psi^{-1})^1 a_3 + (\psi^{-1})^3 a_1 \\ \hat{a}_3 - a_0 = (\psi^{-1})^3 a_2 + (\psi^{-1})^4 a_4 + (\psi^{-1})^2 a_3 + (\psi^{-1})^1 a_1 \\ \hat{a}_1 - a_0 = (\psi^{-1})^1 a_2 + (\psi^{-1})^3 a_4 + (\psi^{-1})^4 a_3 + (\psi^{-1})^2 a_1 \end{cases}$
- convolution of  $((\psi^{-1})^2, (\psi^{-1})^1, (\psi^{-1})^3, (\psi^{-1})^4)$  and  $(a_2, a_4, a_3, a_1)$

## **Implementations**

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## Basic Arithmetic

Cortex-M4F: Armv7-M with DSP and FPv4-SP extensions.

- One multiplication: `smul{b, t}{b, t}`, `smla{b, t}{b, t}`
- Two multiplications: `smu{a, s}d{, x}`, `sml{a, s}d{, x}`

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### Algorithm 1 32-bit Barrett

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1: `smmulr t, a,  $q^{-1}$`   
2: `mls a, t, q, a`

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### Algorithm 2 32-bit montgomery\_mul

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1: `smull clow, chigh, a, b`  
2: `mul t, clow, - $q'^{-1}$`   
3: `smlal clow, chigh, t, q'`

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Figure 1: Inputs and outputs.

## Butterfly Operations in $\mathbb{Z}_{4591}$

A typical sequence:

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**Algorithm 3** Radix-3 butterfly  $w = \psi_3^2 || \psi_3$ .

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**Require:**  $a_0, a_{1,2} = a_2 || a_1$  where  $\psi_3$  3rd root of unity,  $t_0 = 0x00010001$

**Ensure:** reduced  $a_0 = a_0 + a_1 + a_2, a_{1,2} = a_0 + \psi_3^2 \cdot a_1 + \psi_3 \cdot a_2 || a_0 + \psi_3 \cdot a_1 + \psi_3^2 \cdot a_2$

- |   |  |
|---|--|
| 1: smlad $t_0, a_{1,2}, t_0, a_0$       | ▷ $t_0 \leftarrow a_0 + a_1 + a_2$                             |
| 2: smlad $t_1, a_{1,2}, w, a_0$         | ▷ $t_1 \leftarrow a_0 + \psi_3 \cdot a_1 + \psi_3^2 \cdot a_2$ |
| 3: smladx $t_2, a_{1,2}, w, a_0$        | ▷ $t_2 \leftarrow a_0 + \psi_3^2 \cdot a_1 + \psi_3 \cdot a_2$ |
| 4: smmulr $t, t_0, q^{-1}$              | ▷ reduce $t_0$   |
| 5: mls $a_0, t, q, t_0$                 | ▷ reduce $t_1$   |
| 6: smmulr $t, t_1, q^{-1}$              | ▷ reduce $t_2$   |
| 7: mls $t_1, t, q, t_1$                 |  |
| 8: smmulr $t, t_2, q^{-1}$              |  |
| 9: mls $t_2, t, q, t_2$                 |  |
| 10: pkhb $t a_{1,2}, t_1, t_2, LSL\#16$ | ▷ $a_{1,2} \leftarrow t_2    t_1$                              |

## Three Layers of Radix-2 32-bit CT Butterflies

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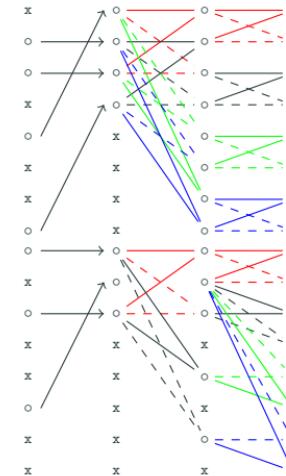
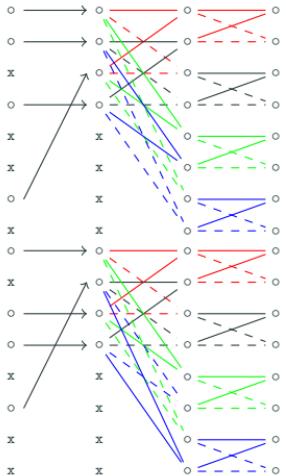
**Algorithm 4** Cooley-Tukey NTT with three layers, no mul. if  $\omega = \pm 1$ .

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1: vmov r1 =  $\omega'_0$                                 ▷ butterflies  $(r4 \leftrightarrow r8), (r5 \leftrightarrow r9), (r6 \leftrightarrow r10), (r7 \leftrightarrow r11)$  below
2: montgomery_mul r8, r1                           ▷  $r8 = \omega_0 a_4$ 
3: montgomery_mul r9, r1                           ▷  $r9 = \omega_0 a_5$ 
4: montgomery_mul r10, r1                          ▷  $r10 = \omega_0 a_6$ 
5: montgomery_mul r11, r1                          ▷  $r11 = \omega_0 a_7$ 
6: add r4, r8                                     ▷  $r4 = a_0 + \omega_0 a_4$ 
7: add r5, r9                                     ▷  $r5 = a_1 + \omega_0 a_5$ 
8: add r6, r10                                    ▷  $r6 = a_2 + \omega_0 a_6$ 
9: add r7, r11                                    ▷  $r7 = a_3 + \omega_0 a_7$ 
10: sub r8, r4, r8, lsl #1                         ▷  $r8 = a_0 - \omega_0 a_4$ 
11: sub r9, r5, r9, lsl #1                         ▷  $r9 = a_1 - \omega_0 a_5$ 
12: sub r10, r6, r10, lsl #1                        ▷  $r10 = a_2 - \omega_0 a_6$ 
13: sub r11, r7, r11, lsl #1                        ▷  $r11 = a_3 - \omega_0 a_7$ 
14: vmov r1 =  $\omega'_1, \omega'_2$                    ▷ butterflies  $(r4 \leftrightarrow r6), (r5 \leftrightarrow r7), (r8 \leftrightarrow r10), (r9 \leftrightarrow r11)$ 
15: vmov r1 =  $\omega'_3, \omega'_4, \omega'_5, \omega'_6$     ▷ butterflies  $(r4 \leftrightarrow r5), (r6 \leftrightarrow r7), (r8 \leftrightarrow r9), (r10 \leftrightarrow r11)$ 
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## Butterflies for Good's Trick with Zeros



## **Results**

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## Results: Big by Small Polynomial Multiplication

Toom–Cook	Good's	Rader's	Small radices
223 871	159 176	152 177	185 010

$(p, q)$	Ring for NTT	Approach	Cycles
(653, 4621)	$\mathbb{Z}_{4621}[x]/\langle x^{1320} - 1 \rangle$	Rader's	120 137
(761, 4591)	$\mathbb{Z}_{4591}[x]/\langle x^{1530} - 1 \rangle$	Rader's	152 177
(857, 5167)	$\mathbb{Z}_{q'}[x]/\langle x^{1728} - 1 \rangle$	2D Good's	183 430
(953, 6343)	$\mathbb{Z}_{q'}[x]/\langle x^{1920} - 1 \rangle$	3D Good's	185 790
(1013, 7177)	$\mathbb{Z}_{q'}[x]/\langle x^{2048} - 1 \rangle$	2048-NTT	225 484
(1277, 7879)	$\mathbb{Z}_{q'}[x]/\langle x^{2560} - 1 \rangle$	2D Good's	284 015

## Results: Cycles of Full Schemes

	Toom-Cook	Good's	Rader's	small radices
<b>ntrulpr761</b> Speed (cycles)				
<b>G</b>	823655	735168	727298	760 947
<b>E</b>	1309214	1110628	1093927	1153 722
<b>D</b>	1491900	1214546	1187447	1 284 253
<b>sntrup761</b> Speed (cycles)				
<b>G</b>	10901785	10787337	10773799	1 0808 526
<b>E</b>	789442	701612	689996	726 930
<b>D</b>	742182	586244	563885	637 286

## Results of Follow up Works (Merged into pqm4)

Streamlined NTRU Prime			
$(p, q)$	K	E	D
(653, 4621)	6 714 568	631 853	486 707
(761, 4591)	7 951 328	683 652	538 141
(857, 5167)	10 264 255	853 302	689 920
(953, 6343)	12 761 557	943 350	744 434
(1013, 7177)	13 955 859	1 031 757	838 171
(1277, 7879)	22 989 117	1 326 335	1 071 964

NTRU LPrime			
$(p, q)$	K	E	D
(653, 4621)	677 981	1 157 987	1 233 059
(761, 4591)	726 507	1 312 278	1 393 675
(857, 5167)	921 143	1 547 852	1 668 045
(953, 6343)	1 007 380	1 677 959	1 795 115
(1013, 7177)	1 102 228	1 842 328	1 991 243
(1277, 7879)	1 420 658	2 341 222	2 530 410

## Polynomial Multiplication in NTRU Prime

- Compute as in  $\mathbb{Z}$ 
  - Choose an  $N = 2^k \times 3^{\{0,1,2,3\}} \times 5^{\{0,1\}} \geq 2p - 1$  for fast computation
  - Good's trick if  $3|N$  or  $5|N$
  - Choose  $q'$  with  $N|(q' - 1)$  for 32-bit arithmetic on Cortex-M4
    - $\mathbb{Z}_{q'} \rightarrow \mathbb{Z}_q$  before  $\langle x^N - 1 \rangle \rightarrow \langle x^p - x - 1 \rangle$ , then  $q' > qp$
    - $\mathbb{Z}_{q'} \rightarrow \mathbb{Z}_q$  after  $\langle x^N - 1 \rangle \rightarrow \langle x^p - x - 1 \rangle$ , then  $q' > q(2p - 1)$
    - Short  $\Rightarrow$  replace  $p$  with  $w$
- Compute as in  $\mathbb{Z}_q$ 
  - For a divisor  $d$  of  $q - 1$ , we can compute size  $d$  NTT
  - Find an  $N \geq 2p - 1$  with  $d|N$  and small  $\frac{N}{d}$
  - Small radices: fast
  - Large radices: Rader's trick
  - Butterflies with DSP extension: `smul{b, t}{b, t}`, `smla{b, t}{b, t}`, `smuf{a, s}d{, x}`, `sml{a, s}d{, x}`

Thank you for your attention



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*Proceedings of the IEEE*, 56(6):1107–1108, 1968.

Convolution in  $R[x]/\langle x^6 - 1 \rangle$

For  $\mathbf{a}(x) = \sum_{i=0}^5 a_i x^i$ ,  $\mathbf{b}(x) = \sum_{i=0}^5 b_i x^i \in R[x]/\langle x^6 - 1 \rangle$ ,

$$\mathbf{a}(x)\mathbf{b}(x) = \sum_{i=0}^5 \sum_{i_a+i_b=i}^{i_a, i_b} a_{i_a} b_{i_b} x^i \in R[x]/\langle x^6 - 1 \rangle$$

- # multiplications:  $6 \cdot 6 = 36$
- # additions:  $6 \cdot 5 = 30$

Can we do better? Yes, with Good's trick.

## Permutation

- $i \mapsto (i \bmod 2, i \bmod 3)$

- $$\begin{cases} (a_0, \dots, a_5) \mapsto \begin{pmatrix} a_0 & a_4 & a_2 \\ a_3 & a_1 & a_5 \end{pmatrix} =: A \\ (b_0, \dots, b_5) \mapsto \begin{pmatrix} b_0 & b_4 & b_2 \\ b_3 & b_1 & b_5 \end{pmatrix} =: B \end{cases}$$

Add-sub the Rows

$$\left\{ \begin{pmatrix} a_0 & a_4 & a_2 \\ a_3 & a_1 & a_5 \\ b_0 & b_4 & b_2 \\ b_3 & b_1 & b_5 \end{pmatrix} \mapsto \begin{pmatrix} a_0 + a_3 & a_4 + a_1 & a_2 + a_5 \\ a_0 - a_3 & a_4 - a_1 & a_2 - a_5 \\ b_0 + b_3 & b_4 + b_1 & b_2 + b_5 \\ b_0 - b_3 & b_4 - b_1 & b_2 - b_5 \end{pmatrix} \right.$$



### 3 × 3 Convolutions

$$\left( \begin{pmatrix} a_0 + a_3 & a_4 + a_1 & a_2 + a_5 \\ a_0 - a_3 & a_4 - a_1 & a_2 - a_5 \end{pmatrix}, \begin{pmatrix} b_0 + b_3 & b_4 + b_1 & b_2 + b_5 \\ b_0 - b_3 & b_4 - b_1 & b_2 - b_5 \end{pmatrix} \right) \\ \mapsto \begin{pmatrix} c_0 & c_4 & c_2 \\ c_3 & c_1 & c_5 \end{pmatrix} =: C$$

where  $\begin{cases} c_0 = \sum_{i_a+i_b \equiv 0} a_{i_a} b_{i_b} + \sum_{i_a+i_b \equiv 3} a_{i_a} b_{i_b} \\ c_3 = \sum_{i_a+i_b \equiv 0} a_{i_a} b_{i_b} - \sum_{i_a+i_b \equiv 3} a_{i_a} b_{i_b} \\ c_4 = \sum_{i_a+i_b \equiv 4} a_{i_a} b_{i_b} + \sum_{i_a+i_b \equiv 1} a_{i_a} b_{i_b} \\ c_1 = \sum_{i_a+i_b \equiv 4} a_{i_a} b_{i_b} - \sum_{i_a+i_b \equiv 1} a_{i_a} b_{i_b} \\ c_2 = \sum_{i_a+i_b \equiv 2} a_{i_a} b_{i_b} + \sum_{i_a+i_b \equiv 5} a_{i_a} b_{i_b} \\ c_5 = \sum_{i_a+i_b \equiv 2} a_{i_a} b_{i_b} - \sum_{i_a+i_b \equiv 5} a_{i_a} b_{i_b} \end{cases}$

Add-sub the Rows

$$\begin{aligned} & \begin{pmatrix} c_0 & c_4 & c_2 \\ c_3 & c_1 & c_5 \end{pmatrix} \mapsto \begin{pmatrix} c_0 + c_3 & c_4 + c_1 & c_2 + c_5 \\ c_0 - c_3 & c_4 - c_1 & c_2 - c_5 \end{pmatrix} \\ &= 2 \begin{pmatrix} \sum_{i_a+i_b \equiv 0} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 4} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 2} a_{i_a} b_{i_b} \\ \sum_{i_a+i_b \equiv 3} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 1} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 5} a_{i_a} b_{i_b} \end{pmatrix} \end{aligned}$$

## Permutation

$$(i \bmod 2, i \bmod 3) \mapsto i$$

$$\begin{aligned} & 2 \begin{pmatrix} \sum_{i_a+i_b \equiv 0} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 4} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 2} a_{i_a} b_{i_b} \\ \sum_{i_a+i_b \equiv 3} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 1} a_{i_a} b_{i_b} & \sum_{i_a+i_b \equiv 5} a_{i_a} b_{i_b} \end{pmatrix} \\ & \mapsto 2 \left( \sum_{i_a+i_b \equiv i} a_{i_a} b_{i_b} \right)_{0 \leq i < 6} \\ & = 2 \sum_{i=0}^5 \sum_{i_a+i_b \equiv i} a_{i_a} b_{i_b} x^i \end{aligned}$$

## How Many Additions and Multiplications?

	#(ADD)	#(MUL)
Permutation	0	0
Add-sub the rows ( $A$ and $B$ )	12	0
$3 \times 3$ convolutions	12	18
Add-sub the rows ( $C$ )	6	0
Permutation	0	0
Total (including division by 2)	30	$18 + 6$

If 2 is invertible in  $R$ , we multiply each coefficient with  $2^{-1}$ . The total number of multiplications is therefore 24.



$(p, q) = (653, 4621)$ : 1320 Mixed-radix

- $\psi = \psi_{132}$
- Rader's trick for 11-NTT

$$\begin{aligned} R[x]/\langle x^{1320} - 1 \rangle &\stackrel{11\text{-NTT}}{\cong} \prod_{i=0}^{10} R[x]/\langle x^{120} - \psi^{12i} \rangle \\ &\stackrel{12\text{-NTT}}{\cong} \prod_{i=0}^{10} \prod_{j=0}^{11} R[x]/\langle x^{10} - \psi^{i+11j} \rangle \end{aligned}$$

$(p, q) = (857, 5167)$ : 2D Good's Trick for  $1728 = 64 \times 27$

- $y^{64} = z^{27} = 1$

$$\begin{aligned} R[x]/\langle x^{1728} - 1 \rangle &\xrightarrow{x \mapsto yz} (R[z]/\langle z^{27} - 1 \rangle)[y]/\langle y^{64} - 1 \rangle \\ &\stackrel{\text{64-NTT}}{\cong} \prod_{i=0}^{63} (R[z]/\langle z^{27} - 1 \rangle)[y]/\langle y - \psi_{64}^i \rangle \\ &\stackrel{\text{9-NTT}}{\cong} \prod_{i=0}^{63} \prod_{j=0}^8 \left( R[z]/\langle z^3 - \psi_9^j \rangle \right) [y]/\langle y - \psi_{64}^i \rangle \end{aligned}$$

$(p, q) = (953, 6343)$ : 3D Good's Trick for  $1920 = 3 \times 128 \times 5$

- $z_0^3 = z_1^{128} = z_2^5 = 1$
- $\mathcal{R}_1 = R[z_2]/\langle z_2^5 - 1 \rangle$
- $\mathcal{R}_0 = \mathcal{R}_1[z_1]/\langle z_1^{128} - 1 \rangle$

$$\begin{aligned} R[x]/\langle x^{1920} - 1 \rangle &\xrightarrow{x \mapsto z_0 z_1 z_2} \mathcal{R}_0[z_0]/\langle z_0^3 - 1 \rangle \\ &\stackrel{\text{3-NTT}}{\cong} \prod_{i=0}^2 (\mathcal{R}_1[z_1]/\langle z_1^{128} - 1 \rangle) [z_0]/\langle z_0 - \psi_3^i \rangle \\ &\stackrel{\text{128-NTT}}{\cong} \prod_{i=0}^2 \left( \prod_{j=0}^{127} \mathcal{R}_1[z_1]/\langle z_1 - \psi_{128}^j \rangle \right) [z_0]/\langle z_0 - \psi_3^i \rangle \end{aligned}$$

$(p, q) = (1013, 7177)$ : 2048 NTT

- $\psi = \psi_{512}$

$$R[x]/\langle x^{2048} - 1 \rangle \stackrel{512\text{-NTT}}{\cong} \prod_{i=0}^{511} R[x]/\langle x^4 - \psi^i \rangle$$

$(p, q) = (1277, 7879)$ : 2D Good's Trick for  $2560 = 512 \times 5$

- $y^{512} = z^5 = 1$
- $\psi = \psi_{512}$

$$\begin{aligned} R[x]/\langle x^{2560} - 1 \rangle &\stackrel{x \mapsto yz}{\cong} (R[z]/\langle z^5 - 1 \rangle)[y]/\langle y^{512} - 1 \rangle \\ &\stackrel{512\text{-NTT}}{\cong} \prod_{i=0}^{511} (R[z]/\langle z^5 - 1 \rangle)[y]/\langle y - \psi^i \rangle \end{aligned}$$